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# PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q65368

Sei KATO

Appln. No.: 09/901,563

Group Art Unit: 2634

Confirmation No.: 4811

Examiner: Kevin KIM

Filed: July 11, 2001

For:

DIGITAL BROADCAST RECEIVING APPARATUS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/901,563

prosecution in the application (whichever is earlier), and therefore Applicant is filing

concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p)

is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 18, 2005

Ellen R. Smith

Registration No. 43,042

Attorney Docket No.: Q65368

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STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Ellen R. Smith

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CUSTOMER NUMBER

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DIGITAL BROADCAST RECEIVING APPARATUS

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Substitute for Form 1449 A & B/PTO		Complete if Known			
		Application Number	09/901,563	···	
	V DISCLOSURE	Confirmation Number	4811		
STATEMENT E	SY APPLICANT	Filing Date	July 11, 2001		
<u>\$</u>		First Named Inventor	Sei KATO		
R 1 8 2005 (use as many she	ets as necessary)	Art Unit	2634		
37		Examiner Name	Kevin KIM		
, <b>%€</b> et 1	of 1	Attorney Docket Number	Q65368		

	U.S. PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Cito	Document Number		Publication Date MM-DD-YYYY			
	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document				
		US 5,483,690		01-09-1996	SCHROEDER et al.		
		US					
		US					
		US					
		US					
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FOREIGN PATENT DOCUMENTS							
Examiner Cite	Foreign Patent Document			Publication Date	Name of Patentee or Applicant of Cited Document Transla		
Initials*		MM-DD-YYYY	Translation <sup>6</sup>				
		WO	99/14874	Α	03-25-1999	TERACOM AB	
		EP	0 961 432	Α	12-01-1999	PIONEER ELEC CORP	
	<del> </del>						

NON PATENT LITERATURE DOCUMENTS				
		Translation <sup>6</sup>		
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		ļ		
		Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine,		

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Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.